

- Sec.
79p. Misleading statements, penalty; rights and remedies additional to those existing under other laws.
- 79q. Officers and directors.
(a) Statement of ownership of securities; duty to file.
(b) Limitation on profits in purchase and sale of securities.
(c) Officers or representatives of banking institutions disqualified to serve as officers or directors.
- 79r. Investigations, injunctions, and enforcement of law.
(a) Investigations to determine violations, aid in enforcement and as basis for recommendations.
(b) Investigation of business, financial condition, etc., of companies.
(c) Administering oaths; subpoenas; examining witnesses.
(d) Court aid to compel giving testimony; penalty for refusal to testify.
(e) Injunctions to restrain violations; prosecutions.
(f) Mandamus to compel compliance with law.
- 79s. Hearings before Commission.
- 79t. Rules, regulations, and orders.
(a) Authority of Commission to make.
(b) Consistency with laws of United States or States.
(c) Effective date; classification of persons and matters; hearings.
(d) Filing information or documents by reference.
- 79u. Effect on other laws.
- 79v. Information filed with Commission.
(a) Public disclosure of information; protection of trade secrets.
(b) Written objection to public disclosure.
(c) Disclosure of nonpublic information to other than Commission personnel.
- 79w. Omitted.
- 79x. Court review of orders.
(a) Petition; jurisdiction; findings of Commission; additional evidence; finality.
(b) Stay of Commission's order.
- 79y. Jurisdiction of offenses and suits.
- 79z. Validity of contracts.
(a) Waiver provisions.
(b) Contract provisions in violation of chapter; actual knowledge of violations.
(c) Validity of loans, extensions of credit and creation of liens; actual knowledge of violations.
- 79z-1. Liability of controlling person; preventing compliance with law.
- 79z-2. Representation of guaranty or recommendation by United States.
- 79z-3. Penalties.
- 79z-4. Study of public-utility companies; recommendation.
- 79z-5. Hiring and leasing authority of Commission.
- 79z-5a. Exempt wholesale generators.
(a) Definitions.
(b) Foreign retail sales.
(c) State consent for existing rate-based facilities.
(d) Hybrids.
(e) Exemption of EWGS.
(f) Ownership of EWGS by exempt holding companies.
(g) Ownership of EWGS by registered holding companies.
(h) Financing and other relationships between EWGS and registered holding companies.

- Sec.
(i) Application of chapter to other eligible facilities.
(j) Ownership of exempt wholesale generators and qualifying facilities.
(k) Protection against abusive affiliate transactions.
(l) Reciprocal arrangements prohibited.
- 79z-5b. Treatment of foreign utilities.
(a) Exemptions for foreign utility companies.
(b) Ownership of foreign utility companies by exempt holding companies.
(c) Registered holding companies.
(d) Effect on existing law; no State preemption.
(e) Reporting requirements.
(f) Prohibition on assumption of liabilities.
(g) Prohibition on pledging or encumbering utility assets.
- 79z-5c. Exempt telecommunications companies.
(a) Definitions.
(b) State consent for sale of existing rate-based facilities.
(c) Ownership of ETCS by exempt holding companies.
(d) Ownership of ETCS by registered holding companies.
(e) Financing and other relationships between ETCS and registered holding companies.
(f) Reporting obligations concerning investments and activities of registered public utility holding company systems.
(g) Assumption of liabilities.
(h) Pledging or mortgaging of assets.
(i) Protection against abusive affiliate transactions.
(j) Nonpreemption of rate authority.
(k) Reciprocal arrangements prohibited.
(l) Books and records.
(m) Independent audit authority for State commissions.
(n) Applicability of telecommunications regulation.
- 79z-6. Separability clause.

CHAPTER REFERRED TO IN OTHER SECTIONS

This chapter is referred to in sections 77ccc, 77hhh, 77jjj, 77kkk, 77www, 77zzz, 78c, 80a-2, 80a-3, 80a-37, 80a-49, 80b-2 of this title; title 7 section 12a; title 16 sections 824, 824a-3, 824e, 825d, 825q, 839f; title 29 sections 306, 432; title 42 section 7651b.

§ 79. Short title of chapter

This chapter may be cited as the “Public Utility Holding Company Act of 1935”.

(Aug. 26, 1935, ch. 687, title I, § 36, formerly § 33, 49 Stat. 838; renumbered § 35, Pub. L. 102-486, title VII, § 711, Oct. 24, 1992, 106 Stat. 2905; renumbered § 36, Pub. L. 104-104, title I, § 103, Feb. 8, 1996, 110 Stat. 81.)

STATE AUTHORITIES; CONSTRUCTION

Section 731 of title VII of Pub. L. 102-486 provided that: “Nothing in this title [enacting sections 79z-5a and 79z-5b of this title and sections 824l, 824m, and 825o-1 of Title 16, Conservation, amending sections 796, 824, 824j, 824k, 825n, 825o, and 2621 of Title 16, and amending provisions set out as a note under section 79k of this title] or in any amendment made by this title shall be construed as affecting or intending to affect, or in any way to interfere with, the authority of any State or local government relating to environmental protection or the siting of facilities.”